

| AUDIT PLANS 2012/13 | | | | |
|--|--|---|---|--|
| UTTLESFORD DC | DAVENTRY DC | EPHING FOREST DC | FENLAND DC | LICHFIELD DC |
| Key Financials | Financial Systems Assurance Work | Key Financials | Fundamentals audited annually | Key Financials |
| Asset Management | Asset Register | Bank Reconciliation | Benefits (H&CT) - Financial & Performance Control | High Level Controls - Various |
| Cash & Bank (formerly Recs) | Budgetary Control (Revenue) | Budgetary Control (capital and revenue) | Capital Finance Planning & Asset Register | Housing Benefits |
| Corporate Contracts & Procurement | Cash Receipting | Cash Office spot checks | Cash & Treasury Management | IT Controls |
| Council Tax | Commercial Rents | Cash receipting and Income control | Corporate Assurance - Anti Fraud & Corruption | NNDR |
| Creditors | Council Tax | Council Tax | Corporate Assurance - Risk Management | Payroll - Salaries & Wages |
| General Ledger (formerly Budgets; Inc; Recs) | Creditors | Creditors | Corporate Finance - Budgetary Control | Sundry Debtors |
| Housing Benefits | Debtors | Housing Benefits | Corporate Finance - Management Accounting System | . |
| Housing Rents | Housing Benefits | Main Accounting and Financial Ledger | Council Tax | . |
| Housing Repairs | Main Accounting System | National Non Domestic Rates | Creditors | . |
| NNDR | NNDR (Business Rates) | Provision for 'top up' testing | Debtors and Collection Agency | . |
| Payroll, Allowances & Expenses | Payroll | Risk Management and Insurance | National Non Domestic Rates | . |
| Sundry Debtors | Treasury Management | Sundry Debtors | Payroll | . |
| Treasury Management | | Treasury Management | | |
| | | | | |
| other 2012/13 | other 2012/13 | other 2012/13 | other 2012/13 | other 2012/13 |
| Corporate Equality & Diversity | Application of Programme & Project Management | Building Control | 3 C's - Customer care | Burntwood Leisure Centre |
| Corporate Governance & AGS | Audit Review of Results of Tender Evaluations | Business Plans | Asset & Premises Management - Utility charges | CCTV |
| Corporate Grants & External Funding | Budgetary Process (Capital) | Car Mileage claims | Car contract hire / Car loans | Civil Cont/Emer Plan/Bus Cont/Dis Rec |
| Corporate ICT | Building Control Applications & Income Collection | Car Parking | Concurrent functions, precept & drainage board levies | Community Transport |
| Corporate Partnerships | Business Continuity Management | Commercial Property portfolio | Contract Monitoring - Pest control | Complaints Process |
| Corporate Risk Management | Contract Final Accounts | Contracts | Contract Monitoring - Stray dogs | Computer Audit |
| Corporate Information Management | Contracts - Current Contract | Corporate Procurement | Corporate Assurance - Information & Data Management | Data Protection |
| Corporate Training | Contracts - Final Accounts | Data Protection Act | Corporate Assurance - Performance management | Development Control |
| Access to Services | Contracts - Pre-Contract | Debt recovery | Corporate Finance - Procurement & Disposal | Enforcement - Development Control |
| Conservation & Enhancement | Contracts Register | Disaster recovery/business continuity | Customer Services - Contact Centre | Environmental Crime Enforcemen |
| Customer Service Centre | CRM / OSS Roll Out | Environmental controls/backup procedures | Development and Building Control income | Environmental Improvement & Regeneration |
| Electoral Registration | Environmental / Public Health | Estates/Facilities Management/Other | Economic Development - Business | Equality & Diversity (inc Equality Impact Assessments) |
| Emergency Planning / Business Continuity | Environmental Auditing - Env. Management System | Fleet Operations income | Economic Development - Tourism | Freedom of Information |
| Enforcement | E-Procurement | Gifts and Hospitality (Members & Officers) | Emergency Planning - Civil Contingencies Act | Grant Aid Scheme |
| Facilities Management | Grants Register - Receipt | Housing Contracts | Fees and Charges - Calculation, approval and collection | Grounds Maintenance |
| Homelessness | Home Improvement Grants | Housing Lettings | Housing - Grant distribution | Housing Allocations |
| Housing Allocations | Housing Allocations | Housing Rent Collection and Arrears | ICT - Administration and management | Insurances |
| Insurance | Human Resources / People Management | Housing Repairs Service | Leisure Centre - Functions & Events | Leases |
| Legal Services | ICT Control Systems | Human Resources | Leisure Centre - Income | Licensing Unit |
| Licensing | IT Policy, Strategy & Security | Key and Local Performance Indicators | Leisure Centre - Payroll | Lichfield Connects |
| Mailroom & Reprographics | IT Service Management | Legal | Licences - Alcohol, Gambling, Taxis | Lichfield Garrick |
| Museum | Key Partnerships - Governance Arrangements | Leisure contract | Safer Fenland | PAYE/NI |
| Section 106 Agreements | Land Charges - Income Collection | Licensing | Street Scene - Enforcement | Pensions - Assurance Work |
| Street Services | Licensing - Income Collection | Management of Sickness absence | Transport - Commercial fleet management | Playschemes |
| Utility Payments & Energy Efficiency | Major Contracts | Members Services | Travellers site rents & repairs | Recruitment Procedures |
| Voids | Market Testing (Procurement) | North Weald airfield | | Risk Management |
| | Marketing & Communications | Overtime and Committee Allowances | | S106 Agreements |
| | Performance Management Framework & Data Quality | Payroll | | Shared Services |
| | Planning Applications & Income Collection | Planning Fees | | Stocks/Stores |
| | Planning Obligations / Community Infrastructure Levy | Procurement | | Time Management System |
| | Resources | Property Management System - Asset Register | | Training & Development |
| | Revenue Contract Monitoring | Recruitment and Selection | | |
| | Revenues - Legal Recovery | Stores - Depot stock take | | |
| | Risk Management | Waste Management and Recycling | | |
| | | | | |

| AUDIT PLANS 2012/13 | | | | |
|---|--|--|---|---|
| MID DEVON | ST ALBANS CITY & DC | TENDRING DC | TORRIDGE DC | WARWICK DC |
| Core Audits | Core Financial Systems | Key Systems | Core Financial Systems | Key Systems |
| Car Parking Income | Cashiers and Banking | Bank Account | Asset Management | Administration of Housing & Council Tax Benefit |
| Council Tax & NNDR | Council Tax | Cashiers / Income Control | Council Tax | Capital Accounting |
| Creditors | Creditors | Corporate Governance & Risk Management | Creditors | Car Parking Income |
| Housing Benefits | Debtors | Council Tax | Debtors | Collection of Council Tax |
| Housing Rents (including rent arrears) | Fixed Assets & Capital Accounting | Creditors | Housing/Council Tax Benefits & Benefit Fraud | Collection of National Non-Domestic Rates |
| ICT Core Audit | Housing Benefits | Departmental Procurement | ICT | Main Accounting System |
| Income & Cash Collection | Housing Rents | Housing & Council Tax Benefits | Income - other routes (Epayments, Ringo and BACS, PCIDSS) | Payment of Creditors |
| Main Accounting System (including Deeds test) | HRA Repairs | Main Accounting System | Investments & Loans | Payroll and Staff Expenses |
| Payroll | Main Accounting System/Budgetary Control/Bank Reconciliation | National Non Domestic Rates | Main Accounting | Sundry Debtors |
| Recovery | National Non Domestic Rates | Payroll | NNDR | |
| Treasury & Cashflow Management | Payroll | Sundry Debtors | Payroll | |
| | Treasury Management (Investments and Loans) | Treasury Management | | |
| | | | | |
| | | | | |
| other 2012/13 | other 2012/13 | other 2012/13 | other 2012/13 | other 2012/13 |
| Building Control | Cemeteries | Clacton Leisure Centre & Life Styles | Burton Art Gallery / TIC | Affordable Housing Development Programme |
| Capital & Asset Management | Charity Accounts | Corporate / Cross Cutting Projects | Car Leasing | Business Applications - PARIS Income Management |
| Computer Inventory - hardware & software | Client Management - IT Contract | Departmental Staffing | Car Parks | CCTV Services |
| Computer Network | Council House Sales | Development Management | Cemeteries | Computer Virus Protection |
| Contract Register & Contracts | Decriminalised Parking Enforcement | Emergency Planning | Conservations | Corporate Properties Repair and Maintenance |
| Culm Valley Sports Centre | Elections & Election Registration | Facilities Management | Corporate Risk Registers | Corporate Property and Portfolio Management |
| Customer Care / Complaints | External Repairs and Redecorations (Capital) | Household Waste & Recyclable Materials | Crematorium | Development Control |
| Electoral Registration & Elections | Invoice Payments Recovery | Housing Allocations | Data Quality / Nis | Document Management Centre |
| Exe Valley Leisure Centre | IT Audits | Housing Repairs & Maintenance | Economic Regeneration | Document Management Systems |
| Gifts & Hospitality/Register of Interests | Leisure Services Contract | Housing Strategy & Development | Emergency Planning | Employee Absence Monitoring |
| Grounds Maintenance | Licensing | HR | Equality & Diversity | Energy Management |
| Leasing (Vehicles/Equipment/IT) | Markets | Information Management | Harbour/Pilotage / Port Marine Safety | Estate Management |
| Licensing Services | Museums | Planning enforcement | Licensing | Food Safety |
| Private Sector Renewal Grants | Off Street Parking | Public Relations | Localism Act - impact management | Housing Investment / Maintenance Programmes |
| Procurement | Parks | Regeneration | People management | Housing Management - IT Application |
| Sickness & Other time off | Partnerships | Section 106 | Performance Management] | Human Resource Management |
| Standby | Planning | Street Sweeping | Planning Policy / LDF | ICT Backup Strategy, Processes and Procedures |
| | Recycling | Telephony / Switchboard | Procurement | ICT Helpdesk System |
| | | Tendring Careline/CCTV | Recycling contract | Incentive Schemes |
| | | Theatres & Entertainment | Risk Management | Insurances |
| | | Tourism & Events | Safer North Devon | IT Incident and Problem Management |
| | | VAT | Security | Mobile Working |
| | | | | Newbold Comyn Leisure Centre |
| | | | | Open Spaces |
| | | | | Private Sector Housing Grants |
| | | | | Public Conveniences |
| | | | | Royal Pump Rooms (including Art Gallery) |
| | | | | Supporting People Services |
| | | | | Website (Intranet and Internet) |

| AUDIT PROVISION 2012/13 | | | | |
|----------------------------|---|-------------------------|----------------|------------------|
| authority | IA type | | county | council type |
| UTTLESFORD DC | IN HOUSE | | ESSEX | district council |
| EPPING FOREST DC | IN HOUSE | | ESSEX | district council |
| FENLAND DC | IN HOUSE | | CAMBRIDGESHIRE | district council |
| ST ALBANS CITY & DC | IN HOUSE | | HERTFORDSHIRE | district council |
| TENDRING DC | IN HOUSE | | ESSEX | district council |
| HUNTINGDONSHIRE DC | IN HOUSE | | CAMBRIDGESHIRE | |
| BASILDON BC | IN HOUSE | | ESSEX | |
| BRAINTREE DC | IN HOUSE | | ESSEX | |
| MALDON DC | IN HOUSE | | ESSEX | |
| ROCHFORD DC | IN HOUSE | | ESSEX | |
| THURROCK (Unitary) | IN HOUSE | | ESSEX | |
| CHELMSFORD CITY COUNCIL | IN HOUSE | | ESSEX | |
| SOUTHEND BC (Unitary) | SHARED AUDIT MANAGER / SERVICE | lead authority | ESSEX | unitary |
| CASTLE POINT DC | SHARED AUDIT MANAGER / SERVICE | | ESSEX | district council |
| FOREST HEATH DC | SHARED AUDIT MANAGER / SERVICE | lead authority | SUFFOLK | district council |
| EAST CAMBRIDGESHIRE DC | SHARED AUDIT MANAGER / SERVICE | | CAMBRIDGESHIRE | district council |
| ST EDMUNDSBURY DC | SHARED AUDIT MANAGER / SERVICE | | SUFFOLK | district council |
| SUFFOLK COASTAL DC | SHARED AUDIT MANAGER / SERVICE | lead authority | SUFFOLK | district council |
| WAVENEY DC | SHARED AUDIT MANAGER / SERVICE | | SUFFOLK | district council |
| IPSWICH BC | SHARED AUDIT MANAGER / SERVICE | | SUFFOLK | borough council |
| BROXBORNE BC | SHARED AUDIT MANAGER / SERVICE | lead authority | HERTFORDSHIRE | borough council |
| HARLOW DC | SHARED AUDIT MANAGER / SERVICE | | ESSEX | district council |
| CAMBRIDGE CITY COUNCIL | SHARED AUDIT MANAGER / SERVICE | | CAMBRIDGESHIRE | city council |
| PETERBOROUGH CITY COUNCIL | SHARED AUDIT MANAGER / SERVICE | | CAMBRIDGESHIRE | city council |
| CAMBRIDGESHIRE COUNTY | LG SHARED SERVICE with NORTHAMPTONSHIRE | | CAMBRIDGESHIRE | county council |
| ESSEX COUNTY COUNCIL | SHARED AUDIT MANAGER / SERVICE | lead authority | ESSEX | county council |
| BRENTWOOD BC | SHARED AUDIT MANAGER / SERVICE | | ESSEX | borough council |
| WATFORD BC | SHARED AUDIT MANAGER / SERVICE | lead authority | HERTFORDSHIRE | borough council |
| THREE RIVERS DC | SHARED AUDIT MANAGER / SERVICE | | HERTFORDSHIRE | district council |
| SUFFOLK COUNTY COUNCIL | SHARED AUDIT MANAGER / SERVICE | partial shared services | SUFFOLK | county council |
| MIDSUFFOLK DC | SHARED AUDIT MANAGER / SERVICE | partial shared services | SUFFOLK | district council |
| BABERGH DC | SHARED AUDIT MANAGER / SERVICE | partial shared services | SUFFOLK | district council |
| HERTFORDSHIRE COUNTY | HERTS SIAS | lead authority | HERTFORDSHIRE | county council |
| EAST HERTFORDSHIRE DC | HERTS SIAS | | HERTFORDSHIRE | district council |
| HERTSMERE BC | HERTS SIAS | | HERTFORDSHIRE | borough council |
| NORTH HERTFORDSHIRE DC | HERTS SIAS | | HERTFORDSHIRE | district council |
| STEVENAGE BC | HERTS SIAS | | HERTFORDSHIRE | borough council |
| WELWYN & HATFIELD DC | HERTS SIAS | | HERTFORDSHIRE | district council |
| COLCHESTER BOROUGH COUNCIL | OUTSOURCED - DELOTTES | | ESSEX | |
| DACORUM BOROUGH | OUTSOURCED - DELOTTES | | HERTFORDSHIRE | |
| SOUTH CAMBRIDGESHIRE DC | OUTSOURCED - RSM TENON | | CAMBRIDGESHIRE | |